



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Re: Attorney Docket No. Faller 5

In re application of: Christof Faller

Serial No.: 09/848,877

Filed: 05/04/01

Matter No.: 992.1007

Group No.: 2615

Examiner: Brian T. Pendleton

Phone No.: 571-272-7527

For: Perceptual Synthesis of Auditory Scenes

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR § 1.56, this is an Information Disclosure Statement (IDS) for the above-captioned U.S. patent application. An IDS Form PTO-1449 listing references is enclosed herewith and forms a part hereof. Submission of references is not an admission that those references are in fact prior art.

Certification Under 37 CFR § 1.704(d)

- [] Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this statement.

Certification Under 37 CFR § 1.97(e)

- [X] Each item of information contained in this statement was either (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement or (2) not known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

* * * * *

Certification Under 37 CFR 1.8

Date of Deposit June 22, 2006

I hereby certify that this paper and any accompanying papers or fees are being deposited with the U.S. Postal Service with sufficient postage as first class mail under 37 CFR 1.8 on the date indicated above and addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Leighann Madeksiak
(Name of person mailing)

Leighann Madeksiak
(Signature of person mailing)

05/23/2006 CCM:RJC 00000011 09848877

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120.00 CP

Fee Under 37 CFR § 1.97(c)

☐ The fee for submission of this IDS under § 1.97(c) is \$180.00 as set forth in § 1.17(p).

Petition Under 37 CFR § 1.97(d)

☒ This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee for submission of this IDS under § 1.97(d) is \$180.00 as set forth in § 1.17(p).

Provision of Copies of References

☒ A copy of each of the listed references is enclosed herewith and forms a part hereof.

☐ A translation of each of Reference(s) ___ is enclosed herewith and forms a part hereof.

☐ A copy of the International Search Report from a corresponding PCT application is enclosed herewith.

☐ A copy of the European Examination Report from a corresponding EPO application is enclosed herewith.

☐ A copy of the final U.S. office action for U.S. patent application no. _____ filed on _____ as attorney docket no. (Case name or our ref.).

☐ The above-captioned U.S. patent application is a

- ☐ continuation
- ☐ divisional
- ☐ continuation-in-part

application of U.S. application serial number ____, filed on ____ as Attorney Docket No. ____.
Each of the listed references was previously cited by or submitted to the Office in the prior application. The above-captioned U.S. patent application relies upon the prior application for an earlier filing date under 35 U.S.C. 120. Pursuant to § 1.98(d), copies of the listed references are not being provided with this IDS.

Authorization to Charge Credit Card Account

☒ Form PTO-2038 authorizing credit card payment in the amount of \$180.00.

Authorization To Charge Deposit Account

☐ Authorization is given to charge Mendelsohn & Associates, P.C. Deposit Account No. 50-0782 for any fee under § 1.97(c) or § 1.97(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 form as confirmation that each of the documents has been considered.

Date: 6/22/06
Customer No. 46900
Mendelsohn & Associates, P.C.
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Philadelphia, Pennsylvania 19102

Respectfully submitted,

Steve Mendelsohn
Steve Mendelsohn
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Enclosures

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Rev. 2-32) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

INFORMATION DISCLOSURE
STATEMENT BY APPLICANTFaller 5
APPLICANT

09/848,877

(Use several sheets if necessary)

Christof Faller
FILING DATE

GROUP

05/04/01

2615

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
HA	5,771,295	06/1998	Waller, Jr.	381	18	
HB	5,825,776	10/1998	Moon	370	437	
HC	5,878,080	03/1999	Ten Kate	375	241	
HD	6,016,473	01/2000	Dolby	704	500	
HE	US 2004/0091118 A1	05/2004	Griesinger	381	20	
HF	US 6,823,018 B1	11/2004	Jafarkhani et al.	375	245	
HG	US 6,973,184 B1	12/2005	Shaffer et al.	379	420.01	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
HI JP 07123008	05/1995	Japan	H03M	7/30	English Abstract

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.